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A new ¹³C breath test to detect vitamin B12 deficiency: a prevalent and poorly diagnosed health problem

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Abstract

Vitamin B12 deficiency is emerging as a growing public health problem. The most commonly used diagnostic tests are limited in accuracy, sensitivity, and are non-specific for B12 deficiency. The aim of this study was to develop a simple B12 breath test (BBT) to more accurately evaluate vitamin B12 status as an alternative to the most common diagnostic test, serum B12 levels. The breath test is based on the metabolism of sodium 1-¹³C-propionate to ¹³CO₂ which requires B12 as a cofactor. We initially compared the BBT to current B12 diagnostic methods in 58 subjects. Subjects also received a second BBT 1-3 days after initial testing to evaluate reproducibility of results. Propionate dosage, fasting times, and collection periods were compared, respectively. The dose of sodium 1-13C-propionate (10-50 mg) gave equivalent results while an 8 h fast was essential. Statistical analysis revealed that breath collection times could be reduced to just a baseline and 10 and 20 min following propionate dosing. We also measured the incidence of B12 deficiency with the BBT in 119 patients with chronic pancreatitis, Crohn's disease, small intestinal bacterial overgrowth, and subjects over 65 years of age. The BBT results agreed with previous publications showing a higher incidence of B12 deficiency in these patients. The BBT may provide clinicians with a non-invasive, accurate, reliable, and reproducible diagnostic test to detect vitamin B12 deficiency.

(Some figures in this article are in colour only in the electronic version)

Introduction

Vitamin B12 (cobalamin) deficiency is emerging as a common clinically important problem. The 3000 person Framingham study indicated that almost 40% of these generally healthy adults had low serum B12 levels, <258 pmol 1^{-1} [1]. Cobalamin levels at 258 pmol 1^{-1} or lower are at risk for neurologic signs and symptoms of B12 deficiency. Patients with clinically important B12 deficiency may not be aware of this disorder since many are asymptomatic. If untreated, vitamin B12 deficiency may cause significant morbidity largely related to hematological and neurological aberrations. A serum B12 level is the most commonly used diagnostic test in cases of suspected deficiency and is typically measured by automated competitive displacement assays. The perception, based almost exclusively on semantics, tradition, and convenience, is that serum B12 provides an accurate indication of a patient's vitamin B12 status. Although this test is inexpensive and readily available, many publications suggest that serum B12 levels do not reflect vitamin deficiency and frequently show false positives and negative results [2–6]. Normal lab values range from 200 to 900 pg ml⁻¹ and values at the lower range (anywhere from 100 to 400 pg ml⁻¹) are not considered diagnostic as serum vitamin B12 levels appear

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Figure 1. Principal of the vitamin B12 breath test using sodium 1-¹³C-bicarbonate and detecting breath ¹³CO₂. Methylmalonyl-CoA mutase is a vitamin B12-dependant enzyme.

to be maintained at a certain level at the expense of long-term tissue stores.

Although it may be true that vitamin B12 status may affect circulating homocysteine (HC) by 'backing up' the metabolism of methionine, it is also not a distinct measure Serum homocysteine (HC) can of vitamin B12 status. become elevated by several confounding factors such as age, smoking, vitamin B6 status, genetic abnormalities, clinical folate deficiency, and certain classes of medications (i.e. carbazepine, methotrexate). The serum methylmalonic acid (MMA) levels are generally accepted as the most accurate available test for detecting B12 deficiency [7]. However, serum MMA levels must be interpreted with caution in patients with chronic renal failure due to their tendency to accumulate MMA [8]. The assay is not normalized to account for dilution or concentration caused by kidney function. Therefore, it can give falsely high values in patients with renal insufficiency. The typical method for the measurement of serum MMA is stable isotope dilution analysis by liquid chromatograph-mass spectrometer/mass spectrometer (LC-MS/MS) [9]. Thus, the analytical methods for this metabolite are expensive for routine use and not widely used in clinical practice.

In light of the shortcomings of these vitamin B12 deficiency screening procedures, we developed a simple, noninvasive, and low-cost diagnostic breath test to detect B12 functional deficiency. The B12 breath test (BBT) quantitates the metabolism of ¹³C-labeled propionate to ¹³CO₂ by the pathway shown in figure 1. ¹³C is a non-radioactive, naturally occurring isotope which is safe for human use. The pathway from propionate to CO_2 requires B12 as a cofactor. We hypothesized that individuals with B12 deficiency would have lower ¹³CO₂ recovery compared with individuals with normal vitamin B12 status.

We prospectively evaluated how well the BBT identified vitamin B12 deficient individuals by measuring MMA, HC and cobalamin levels in the blood. We also evaluated

Table 1. Participant demographics for study group 1.

	At-risk subjects	Healthy subjects
Number	26	32
Age (years)	Mean $= 63$ years	Mean $= 39$ years
	(range 25-97 years)	(range 18–64 years)
Gender	13 males, 13 females	7 males, 25 females
Race	23 Caucasians,	14 Caucasians,
	1 African American,	1 African American,
	1 Hispanic, 1 other	16 Hispanic, 1 other
BMI	Mean = 25.2	Mean = 26.1
(kg m^{-2})	(range 16.4–35.7)	(range 17.1-38.4)

the reproducibility of the test, conducted a sodium 1-¹³Cpropionate dose ranging study, determined if the number of breath collections could be reduced, and assessed if the 8 h fast could be shortened. After the initial test development studies, we measured the incidence of B12 deficiency with the BBT in 119 subjects with chronic pancreatitis, Crohn's disease, small intestinal bacterial overgrowth, and subjects over 65 years of age. Previous reports have shown these subgroups have a higher incidence of B12 deficiency.

Methods

These studies were conducted under full review and approval by the University of Florida Institutional Review Board. All subjects were 18 years of age or older and gave informed consent prior to enrolling into these studies. No subjects were receiving supplemental doses of vitamin B12 for the past year (>100 mcg/day).

For study group 1, we enrolled 26 adults at potential risk for B12 deficiency (25–97 years, mean = 63) and 32 healthy controls (18–64 years, mean = 39). The initial subject demographics are detailed in table 1. Based on prior published research reports, we defined at-risk individuals for B12 deficiency as either ≥ 65 years of age or had at least one of the following gastrointestinal diseases: chronic pancreatitis, Crohn's disease, or small intestinal bacterial overgrowth. Chronic pancreatitis was previously confirmed by abnormal CT scans showing pancreatic calcification, pancreatic atrophy or enlargement of the main pancreatic duct. Crohn's disease was previously confirmed by endoscopy and/or histology, while individuals with small intestinal bacterial overgrowth had a positive ¹⁴C-xylose breath test. The 32 healthy controls were used to determine the normal range of the BBT. Overall, at-risk subjects were significantly older than healthy controls. The genders of at-risk individuals were equally divided while the healthy controls had an abundance of female subjects. Atrisk subjects were mostly Caucasian, while the control group was 50% minority (Hispanic). No appreciable differences were seen in the body mass index (BMI) between groups.

The demographics of study group 2 included 119 subjects as detailed in table 2. These at-risk individuals were either ≥ 65 years of age or had at least one of the following gastrointestinal diseases: chronic pancreatitis, Crohn's disease, or small intestinal bacterial overgrowth. Confirmation of these diseases as inclusion criteria was similar to study group 1 above.

Table 2. Participant demographics of study group 2 for BBT and MMA comparison studies.

Group	Number of subjects	Gender	Mean age \pm SD
Crohn's disease Chronic pancreatitis Small intestinal bacterial overgrowth	33 9 44	9 M, 24 F 5 M, 4 F 4 M, 40 F	$40 \pm 15 \\ 63 \pm 14 \\ 55 \pm 14$
Over 65 years old	32	8 M, 24 F	77 ± 8

Blood samples were collected after an overnight fast (8 h) to determine serum vitamin B12, HC, and MMA levels. All three B12 biomarkers were measured for group 1 but serum methylmalonic was only measured for group 2. The serum determinations were conducted by Quest Diagnostics using standard methods and reference intervals established by their laboratory.

The initial BBT utilized 120 min of breath collections for group 1 subjects who had been fasting and not smoking for at least 8 h prior to testing. Two baseline breath samples (-10 and -5 min) were collected into 10 ml Exetainer tubes (Labco Ltd, High Wycombe, UK) just prior to dosing. Group 1 subjects were orally administered 50 mg sodium 1^{-13} Cpropionate (gift of Cambridge Isotope Laboratories, Andover, MA) dissolved in 30 ml of water followed by another 170 ml of water. Sodium propionate is on the FDA Generally Regard as Safe List. Breath samples were collected every 10 min after dosing for the first hour and every 15 min for the second hour. Breath samples were sent to Metabolic Solutions (Nashua, NH) for assay.

The amount of ${}^{13}\text{CO}_2$ in the Exetainer breath storage tubes was measured with a Europa Scientific 20/20 gas isotope ratio mass spectrometer (Europa Scientific, Crewe, UK). The ratio of ${}^{13}\text{CO}_2$ to ${}^{12}\text{CO}_2$ (mass 45 to 44) was measured in the sample and compared to a reference gas (5% CO₂, balance 75% N₂, 20% O₂). The reference gas was calibrated with international standards. The units of measurement were atom % ${}^{13}\text{C}$ and defined by

Atom %
$${}^{13}C = {}^{13}CO_2 / ({}^{13}CO_2 + {}^{12}CO_2) * 100\%.$$

Standards of carbon dioxide gas at three different levels of atom % ¹³C were run before and after each daily run to check instrument performance. The analytical precision of the instrument is 0.0001 atom % ¹³C.

The atom % ¹³C values of each breath sample were used to calculate the percent of the dose recovered in the breath during each time period. The area under the curve (AUC) for each time period was calculated by the linear trapezoid method, using the atom % ¹³C for the two points during the time period. The percent of the dose metabolized at each time point was calculated as

Total ¹³C excreted (mmol) = % ¹³C (AUC) × CO₂ production (mmol min⁻¹) × Time (min). CO₂ production was estimated from the basal metabolic rate (BMR) estimation for adults as described by Schofield [10]. BMR was converted to CO₂ production using the energy equivalent of a typical diet, 23.85 kJ l⁻¹ CO₂ [11], and the gas constant for CO₂ at

22.263 mol 1^{-1} . The percent dose metabolized at each time point was calculated as

% dose metabolized = Total 13 C excreted (mmol)/

Dose (mmol) \times 100%.

The BBT was reported as the cumulative AUC for the total breath collection time period. Receiver operator curves were used to determine the normal range of the BBT using the healthy control subjects.

Several different components were assessed in study group 1 during the development of the BBT as follows:

- (1) Comparison of BBT to serum B12 tests
 - We determined the sensitivity and specificity of the BBT compared to current B12 diagnostic serology techniques. Subjects were classified as B12 deficient if MMA levels were greater than 243 nmol 1^{-1} . The BBT (50 mg sodium 1^{-13} C-propionate) was administered to all subjects after the collection of blood samples.
- (2) Reproducibility of the BBT

A subset of all subjects (33 of 58) received a second breath test 1–3 days after initial testing to evaluate the reliability of the BBT. Both the blood and breath tests were repeated and compared to the first for congruency and variation(s), respectively.

(3) Propionate randomized crossover dose ranging study

Our study addressed the lowest amount of sodium 1-¹³C-propionate needed to be administered in order to detect vitamin B12 deficiency. Twenty-two subjects were studied on two occasions with 9 at-risk subjects for B12 deficiency and 13 subjects as healthy controls (<65 years of age). Subjects were randomized to receive either a 10 or 25 mg sodium 1^{-13} C-propionate dose. Within 72 h of the first test, subjects received a second breath test with the other ¹³C-propionate dose. The breath test results were compared to MMA as the reference vitamin B12 test. The results of the 10 and 25 mg dose were compared to the prior study with the 50 mg ¹³C-propionate dose.

(4) *Reduced fasting time*

We also evaluated whether the 8 h fast was required for the BBT (n = 10). Results from the 8 h and 1 h fasts were compared, respectively. The purpose of this phase was to demonstrate the feasibility of reducing the fasting requirement.

Study group 2 was used to assess two more components.

(5) Evaluation of B12 deficiency in subjects at risk

One hundred and two subjects with a high risk of vitamin B12 deficiency were fasted for more than 8 h. All subjects received a BBT consisting of an oral dose of 25 mg sodium 1^{-13} C-propionate with breath collections via a straw into an Exetainer tube at -5, and 10 and 20 min after dosing. Subjects were classified as an abnormal BBT if either the 10 and/or 20 min samples had less than 42% of the propionate metabolized per hour.

(6) Evaluation of treatment for B12 deficiency
 Subjects testing positive with the BBT or MMA were treated with 1000 μg vitamin B12 (cyanocobalamin)



Figure 2. Percent of ¹³C-propionate dose recovered per hour in breath as ¹³CO₂ for subjects with normal B12 status and for B12 deficient subjects. The standard error of the mean (SEM) is shown at each point. 58 normal B12 subjects and 10 B12 deficient subjects were evaluated.

administered intramuscularly for 5 days. Subjects were re-tested 2–6 weeks after treatment. If treatment still resulted in a positive test, a second treatment of 1000 μ g cyanocobalamin for 5 days was administered with a third diagnostic assessment by the BBT.

Statistical analysis

GraphPad Prism version 5.0 was used for statistical analysis and for generation of graphs. All data were expressed as mean \pm SD with statistical significance indicated when p <0.05. Linear multiple regression analysis with the best subsets approach was used to further reduce the number of data points that could predict B12 deficiency. Minitab Version 13 software was used to select the smallest subset of predictors (breath ¹³C data points) that would predict vitamin B12 status with a clinically significant coefficient ($R^2 > 0.5$ and P < 0.05). The time points with the highest correlation to the complete 120 min AUC were the 10 and 20 min breath collections.

Results

The rate of oxidation of 1^{-13} C-propionate to 13 CO₂ in breath over 120 min for subjects with normal B12 status (N = 49) and subjects with vitamin B12 deficiency (N = 9) is shown in figure 2. Significant differences (P < 0.05) in the 13 CO₂ recovered between vitamin B12 deficiency and healthy controls occurred only between 10 and 30 min after dosing of 1^{-13} C-propionate. Statistical analysis of the 120 min collection period showed that the 10 and 20 min time points gave the best diagnostic accuracy. Receiver operating characteristic (ROC) analysis of the data was performed to indicate the optimal cutoff value to minimize both false negative and false positive results, see figure 3. A cut-off value of >42% of the dose metabolized per hour at either 10 or 20 min indicated normal B12 status.

In the initial studies, nine subjects, 16% of study group 1 (n = 58), had elevated MMA levels. The results of the BBT,



Area under the ROC curve	
Area	0.8838
Std. Error	0.06314
95% confidence interval	0.7600 to 1.008
P value	< 0.0001

Figure 3. Receiver operating curve for the BBT using MMA to define B12 status. MMA was considered abnormal if greater than $243 \text{ mmol } l^{-1}$.

Table 3. Accuracy of detecting abnormal B12 status utilizing MMA as the reference test.

	Serum B12	B12 breath test	Serum HC
Number detected as abnormal subjects	1/9	4/9	1/9
Sensitivity	11%	44%	11%
Specificity	98%	90%	81%
Positive predictive value	50%	44%	20%
Negative predictive value	85%	90%	85%
Likelihood ratio +	5.44	4.36	1.36
Likelihood ratio –	1.10	1.62	1.03

serum B12 and serum HC with normal and abnormal MMA are shown in figures 4–6. Four out of nine subjects as defined by an abnormal MMA level could be detected by the BBT. Five additional subjects had an abnormal BBT but normal MMA levels. Abnormal serum B12 and HC levels were seen in only one of the nine B12 deficient subjects with abnormal MMA levels. The sensitivity, specificity, positive and negative predictive values for the three tests using MMA as the reference diagnosis are shown in table 3. If MMA levels reflect true B12 deficiency, then serum B12 and HC show little clinical utility to detect B12 deficiency but do show a high specificity.

The dose ranging study (n = 22) assessed the sensitivity and specificity of 10 and 25 mg doses of ¹³C-propionate to detect vitamin B12 deficiency using the BBT. The BBT results were compared to MMA as the reference standard. The dose ranging study is shown in figure 7. Thirteen healthy controls and 9 patients with a comorbidity predisposing to B12 deficiency were evaluated. Three out of 22 subjects (14%) had abnormal MMA levels and were considered positive for B12 deficiency. The diagnostic results between 10, 25, and 50 mg propionate complement each other, although one true positive B12 deficient subject was not detected with the 10 mg dose. For the follow-up studies, we chose the 25 mg propionate dose



Figure 4. BBT results of 58 subjects compared to serum MMA levels. The BBT was expressed as the maximum percent dose recovered per hour at either 10 or 20 min. MMA was considered abnormal if greater than $243 \text{ mmol } l^{-1}$.



Figure 5. Serum vitamin B12 levels (pg ml⁻¹) of 58 subjects compared to serum MMA levels. MMA was considered abnormal if greater than 243 mmol l⁻¹.

since the amount of ¹³C appearing in breath after the 10 mg propionate dose was just barely detectable above natural ¹³C variability with an isotope ratio mass spectrometer.

There was a significant agreement for reproducibility of the BBT between test 1 and test 2. The Kappa value for the agreement between tests was 0.7415 (95% CI: 0.4664-1.0166). The BBT value of the two tests was on average within 10% of each other (95% CI: 7.5–9.8%).

Comparing the 8 h and 1 h fasts led us to the conclusion that it is best to run the BBT under an extended fast time (\geq 8 h). The 1 h fast compromised the specificity of the BBT, yielding several false positives (4/10).

The detection of B12 deficiency in 118 at-risk subjects (study group 2) is shown in table 4. The highest incidence of B12 deficiency using the BBT was found in patients with chronic pancreatitis and Crohn's disease, 33% and 24%, respectively. Abnormal MMA levels were detected more often in patients with chronic pancreatitis and those over 65 years old, 22% and 13%, respectively. We observed that 16–44% of all subgroups had either test, BBT or MMA abnormal.

Treatment with cyanocobalamin (1000 μ g/day for 5 days) was performed in a subset of 11 subjects with an initial diagnosis of B12 deficiency by the BBT. Abnormal BBT results prior to B12 treatment changed to normal test results in 7 of 11 subjects.



Figure 6. Serum homocysteine (HC) levels $(\mu \text{mol } l^{-1})$ of 58 subjects compared to serum MMA levels. MMA was considered abnormal if greater than 243 mmol l^{-1} .

Table 4. Incidence of B12 deficiency in subclasses of study group 2.

Group	B12 breath test	MMA	Both tests
SIBO	11% (5/44)	7% (3/44)	16% (7/44)
Ileal Crohn's disease	24% (8/33)	9% (3/33)	33% (11/33)
Chronic pancreatitis	33% (3/9)	22% (2/9)	44% (4/9)
Elderly	6% (2/32)	13% (4/32)	16% (5/32)

Discussion

The BBT measures the metabolism of propionate to CO_2 . This pathway requires the enzyme methylmalonyl mutase and sufficient vitamin B12 as a cofactor. The insufficiency of B12 limits the formation of CO₂ from propionate. The evidence for this pathway was first reported by Fish, Pollycove and Wallerstein using 2-14C-propionate [12] in both normal and vitamin B12 deficient subjects. Similarly, our studies using the non-radioactive tracer carbon-13 find that the in vivo oxidative metabolism of propionate to CO₂ is decreased The breath test we developed uses in B12 deficiency. 10 and 20 min breath samples. Only breath collections less than 30 min were decreased in B12 deficient subjects. This suggests that we are measuring first-pass clearance of propionate by the liver using an oral route of administration of propionate. Mouth to cecum transit time is typically 90-120 min. It would be unlikely that colonic bacteria would alter propionate metabolism within the 20 min window we are measuring. Further, our bacterial overgrowth patients did not show enhanced propionate metabolism to CO₂. Therefore, it is unlikely that bacterial metabolism of propionate accounts for our results.

Previous studies have also shown that the liver extracts 90% of propionate during a single pass [13, 14]. DeGrazia *et al* using an intravenous bolus of 2-¹⁴C-propionate showed that 20% of the tracer dose was excreted as MMA in urine and 20% as CO₂ [15]. This suggested two disposal routes for propionate but does not account for the entire labeled dose administered. Burns *et al* elucidated the propionate via propionyl-CoA can form propionyl carnitine [16]. Propionate is converted to propionyl-CoA by a number of sources in the



Figure 7. 13 CO₂ recovery in the breath by varying the sodium 1- 13 C-propionate dose size. The dose sizes administered were either 10, 25, or 50 mg.

body such as metabolism of valine, methionine, and threonine, odd-chain fatty acids, cholesterol and gut propionate. Burns *et al* suggest that high carnitine levels in extra-hepatic tissues facilitate the conversion of propionate to propionyl-CoA. Alternatively, propionyl-CoA produced endogenously in the liver does not get bound to carnitine.

Based on this evidence, we suggest that the BBT and MMA levels may be measuring different B12 deficiency pathways. We hypothesize that while the BBT may be measuring very rapid propionate metabolism (within 20 min) due to the liver's first-pass effect of the orally administered propionate, MMA is gauging liver/intestinal metabolism as well as the extra-hepatic metabolism of propionate. We have found cases where MMA levels are normal but the BBT is abnormal, with an abnormal BBT normalizing following cobalamin treatment. These data may suggest that liver metabolism of propionate may be more sensitive to decreased vitamin B12 stores. Further studies should investigate the differences between the two B12 deficiency methods.

The pitfalls associated with using serum B12 concentrations as a functional marker of deficiency have long been known. Serum B12 levels can be affected by a plethora of confounding variables including the concentrations of B12 binding proteins, liver disease, and myeloproliferative disorders [2]. Pregnancy and folate deficiency may also indicate a false diagnosis leading to over diagnosis and over treatment [3]. Because of poor sensitivity and specificity, low serum B12 levels may not necessarily indicate vitamin B12 deficiency, while normal serum B12 levels fail to truly confirm normalcy [4]. One study has shown that the limited positive predictive value of the test demonstrates that nearly 80% of indicated deficiencies in some 504 patients studied were false positives after a 5 year follow-up [5]. Other published reports demonstrate quite clearly that the serum B12 level is nonspecific with a low positive predictive value and should be abandoned as a test for vitamin B12 deficiency [4, 6, 17, 18]. Similarly serum HC levels were also not sensitive to detect B12 deficiency which agrees with many published studies. In a urine MMA screening study, serum HC levels were above normal in only 9 of 16 subjects while another presented nine vegetarians with a high MMA and/or low serum B12 level that all had normal HC levels [19, 20]. Our results showed that serum B12 and HC had a low sensitivity to detect functional B12 deficiency compared to the BBT and MMA levels.

The clinical significance of increased MMA levels has also been questioned in 432 subjects followed for up to 4 years after initial MMA testing [21]. Symptoms and neurological testing were used in the follow-up period. No association was found in the follow-up period between MMA and symptoms. MMA levels did not predict clinical manifestations related to vitamin B12 deficiency. In only 16% of participants, MMA levels increased substantially, whereas 44% showed a decrease even in the absence of B12 treatment. While we have used MMA levels as the gold standard, it certainly is not clear-cut that MMA levels have clinical utility.

Conclusion

We studied healthy subjects over the age of 65 years, patients with Crohn's disease of the terminal ileum, patients with small intestinal bacterial overgrowth and patients with chronic pancreatitis. These are not rare disorders and ongoing phases of our study continue to show a remarkable number of these individuals with B12 deficiency. We found a 16% incidence of B12 deficiency in our elderly subjects using both serum MMA levels and the BBTs. This is similar to recent reports using MMA in larger cohorts by Johnson et al (23%) and Morris et al (20%) [18, 22]. The United States is on the brink of a major rise in the number of older Americans. By 2030, the number of Americans over 65 years will double to 70 million. The clinical significance of B12 deficiency in these various at-risk populations presents a growing major and under-addressed public health problem. Should there be any suspicion that B12 deficiency is a possibility or the individual falls into any significant at-risk population, it is paramount that a more sensitive B12 test (i.e. MMA or BBT) be used in conjunction with a detailed clinical evaluation for any possible signs and symptoms of deficiency.

In this study we have developed and refined the B12 breath test to be a simple diagnostic test for B12 deficiency. Overall, these results indicate that the vitamin B12 breath test is a noninvasive, sensitive, specific, and reproducible diagnostic test to detect vitamin B12 deficiency. The ultimate prevention of devastating complications associated with B12 deficiency, particularly in the elderly population and malabsorption diseases, can be accomplished with tests such as the BBT and the MMA to more accurately diagnose B12 deficiency. More studies will be required to validate the BBT in classical B12 deficient subjects. If the BBT has a high sensitivity and specificity for B12 deficiency, it may be prudent to perform both the BBT and measure MMA levels in patients since alternate pathways may be operative with respect to the BBT or MMA levels.

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